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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

PATENT APPLICATION

JUL 09 2002

Applicants : Carlton Bartels et al.

GROUP 3600

Application No.: 09/967,272 Confirmation No.: 6788

Filed : September 28, 2001

For : ELECTRONIC TRADING SYSTEM FOR SIMULATING
THE TRADING OF CARBON DIOXIDE EQUIVALENT
EMISSION REDUCTIONS AND METHODS OF USE

Group Art Unit : 2164

Examiner : Not yet assigned

New York, New York 10020
June 27, 2002

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

- within three months of the application filing date;
- more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge

payment of any fees required in connection with this
Information Disclosure Statement to Deposit Account
No. 06-1075. A duplicate copy of this letter is transmitted
herewith.

Respectfully submitted,

Laura A. Sheridan

Laura A. Sheridan
Registration No. 48,446
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000
Fax : (212) 596-9090

I hereby certify that this
correspondence is being
deposited with the U.S.
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Class Mail in an envelope
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Commissioner for Patents, P.O. Box 2327
~~WASHINGTON, D.C. 20232~~ on

June 27, 2002

William Bailey

Name of person signing

W.Bailey

Signature of person signing